



Atty. Docket No.AUTO 406

## CERTIFICATE OF MAILING

I hereby certify that this paper, together with all enclosures identified herein, are being deposited with the United States Postal Service as first class mail, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on the date indicated below.

August 4,2004

Date `

Katie E. Dykgraaf

Katie E. Dykgraaf

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

:

Darin D. Tuttle et al.

Appln. No.

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Filing Date

10/796,649 March 9, 2004

Art Unit

2872

For

OPTICS FOR CONTROLLING THE DIRECTION OF

LIGHT RAYS AND ASSEMBLIES INCORPORATING

THE OPTICS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

## <u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 CFR §1.97(b)</u>

Pursuant to 37 CFR §§1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents listed on the attached Form PTO-1449. This Information Disclosure Statement is being filed more than three months after the filing date of the above-referenced application but, to the undersigned's knowledge, before the mailing date of a first Office Action on the merits for the above-referenced application.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

Applicant : Darin D. Tuttle et al.

Appln. No. : 10/796,649

Page : 2

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 07-1070.

Respectfully submitted,

DARIN D. TUTTLE ET AL.

AUGUST 3, 2004 Date

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Sheet 1

Substitute for form 1449/PTO

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known **Application Number** 10/796,649 Filing Date March 9, 2004 First Named Inventor Darin D. Tuttle Art Unit 2872 Examiner Name Attorney Docket Number AUTO 406

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	<b></b>	Number-Kind Code <sup>2 (# known)</sup> US- 6,756,912	06-29-2004	Skiver et al.	- January President
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	<u> </u>	US- 6,690,268	02-10-2004	Schofield et al.	
		<sup>US-</sup> 6,685,348	02-03-2004	Pastrick et al.	
		<sup>US-</sup> 6,672,744	01-06-2004	DeLine et al.	
		<sup>US-</sup> 6,670,207	12-30-2003	Roberts	
-		<sup>US-</sup> 6,648,477	11-18-2003	Hutzel et al.	
		<sup>US-</sup> 6,642,851	11-04-2003	DeLine et al.	
		<sup>US-</sup> 6,611,202	08-26-2003	Schofield et al.	
		<sup>US-</sup> 6,568,839	05-27-2003	Pastrick et al.	
		<sup>US-</sup> 6,550,949	04-22-2003	Bauer et al.	
		<sup>US-</sup> 6,521,916	02-18-2003	Roberts et al.	
		<sup>US-</sup> 6,501,387	12-31-2002	Skiver et al.	
		<sup>US-</sup> 6,498,620	12-24-2002	Schofield et al.	
		<sup>US-</sup> 6,483,438	11-19-2002	DeLine et al.	
		us- 6,445,287	09-03-2002	Schofield et al.	
		<sup>US-</sup> 6,441,943	08-27-2002	Roberts et al.	
		<sup>US-</sup> 6,428,172	08-06-2002	Hutzel et al.	
		<sup>US-</sup> 6,416,208	07-09-2002	Pastrick et al.	
		<sup>US-</sup> 6,412,973	07-02-2002	Bos et al.	

		FORE	IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Cite Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	
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Examiner	Date	
Signature	Considered	

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If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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Substitute for for RADEMARK INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known Application Number 10/796,649 Filing Date March 9, 2004 First Named Inventor Darin D. Tuttle Art Unit 2872 Examiner Name

(Use as many sheets as necessary) Attorney Docket Number AUTO 406 Sheet 2

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	ļ	Number-Kind Code <sup>2 (# known)</sup>			riguies Appear
		<sup>US-</sup> 6,386,742	05-14-2002	DeLine	
		<sup>US-</sup> 6,366,213	04-02-2002	DeLine	
		<sup>US-</sup> 6,335,548	01-01-2002	Roberts et al.	
		<sup>US-</sup> 6,331,066	12-18-2001	Desmond et al.	
		<sup>US-</sup> 6,329,925	12-11-2001	Skiver et al.	
		<sup>US-</sup> 6,326,900	12-04-2001	DeLine et al.	
		<sup>US-</sup> 6,294,989	09-25-2001	Schofield et al.	
		<sup>US-</sup> 6,276,821	08-21-2001	Pastrick et al.	
		<sup>US-</sup> 6,257,746	07-10-2001	Todd et al.	
		<sup>US-</sup> 6,222,460	04-24-2001	DeLine et al.	
		<sup>US-</sup> 6,222,447	04-24-2001	Schofield et al.	
		<sup>US-</sup> 6,183,119	02-06-2001	Desmond et al.	
		<sup>US-</sup> 6,176,602	01-23-2001	Pastrick et al.	
		US- 6,172,613	01-09-2001	DeLine et al.	
		US- 6,139,172	10-31-2000	Bos et al.	
	·	<sup>US-</sup> 6,124,886	09-26-2000	DeLine et al.	
		<sup>US-</sup> 6,087,953	07-11-2000	DeLine et al.	
		<sup>US-</sup> 6,000,823	12-14-1999	Desmond et al.	,
		<sup>US-</sup> 5,949,331	09-07-1999	Schofield et al.	

_		FORE	<b>IGN PATENT DOCU</b>	MENTS		
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PTO/SB/08A (08-03) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number	10/796,649				
Filing Date	March 9, 2004				
First Named Inventor	Darin D. Tuttle				
Art Unit	2872				
Examiner Name					
Attorney Docket Number	AUTO 406				

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		US- 5,803,579	09-08-1998	Turnbull et al.	
		<sup>US-</sup> 5,788,357	08-04-1998	Muth et al.	
		<sup>US-</sup> 5,207,492	05-04-1993	Roberts	
		<sup>US-</sup> 5,128,659	07-07-1992	Roberts et al.	
		<sup>US-</sup> 5,014,167	05-07-1991	Roberts	
		<sup>US-</sup> 5,005,009	04-02-1991	Roberts	
		US-			

		FORE	<b>IGN PATENT DOCU</b>	MENTS		
Examiner Initials*	Cite No.1		Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	T
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T⁵
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